

**Metadata Codebook for *International Courts and the Performance of International Institutions*.**

March 10, 2015

This codebook describes a dataset that includes all of the (processed) variables used for analyses in chapters 3 to 5 of the book as well as other aspects of ECJ rulings. For further information about the collection and coding of these data (including the ACCESS database from which these data are derived), please see the “European Court of Justice Data” website: [http://polisci.emory.edu/home/people/carrubba\\_ecjd/replication\\_data.html](http://polisci.emory.edu/home/people/carrubba_ecjd/replication_data.html).

The dataset is in STATA format. The dataset itself includes variable names and brief labels. Here we supplement this information with more detailed descriptions of the variables and some of their key attributes.

For instructions on replication of the results presented in the book, see the readme.txt file found in the same folder as this codebook.

Citation: Carrubba, Clifford and Matthew Gabel. 2014. *Metadata for International Courts and the Performance of International Agreements*, Department of Political Science, Emory University. ([http://polisci.emory.edu/home/people/carrubba\\_ecjd/index.html](http://polisci.emory.edu/home/people/carrubba_ecjd/index.html)).

## **Variables (listed alphabetically)**

### ***age2***

Age of Advocate General in year of ruling

### ***agecent\****

This is the variable “age2” centered at zero

### ***AGforPl***

Dummy variable coded (1) if the Advocate General’s opinion supported the plaintiff, (0) otherwise

### ***AGforPlhome***

Interaction of the variables “homeobs” and “AGforPl”

### ***AGInd***

Index that assigns a number to each Advocate General in the dataset. Coded as:

(1) Lenz; (2) Alber; (3) Roemer; (4) Reischl; (11) Darmon; (12) Leger; (13) Gand; (14) Lagrange; (15) Mayras; (16) Rosez; (17) De Lamothe; (20) Mancini; (21) Tesauro; (22) La Pergola; (23) Trabucchi; (24) Capotorti; (25) Saggio; (40) Mischo; (50) Van Themaat; (60) Slynn; (61) Jacobs; (62) Warner; (70) Fennelly; (80) Elmer; (81) Gulmann; (90) Colomer; (100) Da Cruz Vilaca; (110) Cosmas

### ***article169***

Dummy variable coded (1) for rulings in infringement cases (article 169 EC Treaty), (0) otherwise

### ***article173***

Dummy variable coded (1) for rulings in annulment cases (article 173 EC Treaty), (0) otherwise

***article175***

Dummy variable coded (1) for rulings in failure to act cases (article 175 EC Treaty), (0) otherwise

***article177***

Dummy variable coded (1) for rulings in preliminary reference cases (article 177 EC Treaty), (0) otherwise

***article179***

Dummy variable coded (1) for rulings in staff/personnel cases (article 179 EC Treaty), (0) otherwise

***casenumber***

The ECJ case number for the ruling (in joined cases, the first case number reported in the ruling)

***Chambernumber***

The number of the chamber of the ECJ that issued the ruling

***Chambersize***

The number of judges who signed the ruling

***CommIsDef***

Dummy variable coded (1) if the Commission was the defendant, (0) otherwise

***CommIsPl***

Dummy variable coded (1) if the Commission was the plaintiff, (0) otherwise

### ***CommObsDef***

Dummy variable coded (1) if the Commission submitted an observation (brief) supporting the defendant, (0) otherwise

### ***CommObsPl***

Dummy variable coded (1) if the Commission submitted an observation (brief) supporting the plaintiff, (0) otherwise

### ***[Country]Def***

Dummy variable coded (1) for rulings with a brief filed for the defendant by the national government in the variable name, (0) otherwise

### ***[Country]isDef***

Dummy variable coded (1) for rulings where the defendant is the national government of the country in the variable name, (0) otherwise

### ***[Country]isPl***

Dummy variable coded (1) for rulings where the plaintiff is the national government of the country in the variable name, (0) otherwise

### ***[Country]Pl***

Dummy variable coded (1) for rulings with a brief filed for the plaintiff by the national government in the variable name, (0) otherwise

### ***election***

Dummy variable indicating whether (1) the year of the ruling is two or fewer years since a national legislative election and the Advocate General is in the fifth or sixth year of the 6-year term

### ***electionhome***

Interaction of the variables “homeobs” and “election”

***fifth***

The fifth year of the 6-year term for the Advocate General

***first***

The first year of the 6-year term for the Advocate General

***fourth***

The fourth year of the 6-year term for the Advocate General

***GovIsDef***

Dummy variable coded (1) if a national government was the defendant, (0) otherwise

***govislit***

Dummy variable coded (1) if a national government (including its prosecutor) was a litigant, (0) otherwise

***homecook\****

Dummy variable coded (1) for rulings on which the Advocate General favored the same side in the case that was supported by the Advocate General's home government's observation, (0) otherwise

***homeobs***

When the Advocate General's appointing nation submitted a brief on the legal issue, this variable is coded (1) if it supported the plaintiff and (-1) if it supported the defendant; the variable is coded (0) if the appointing nation did not submit a brief

***hometime***

Interaction of the variables "homeobs" and "time"

***legalissue***

Based on the decision of the Court, an issue for which the Court renders a judgment in a case. This issue is described in text format.

***ltsurv***

The likelihood of the survival of the current prime minister's party to the end of the Advocate General's term (this is the "retrospective" measure described in the appendix to chapter 4)

***netobs***

The difference between the number of third-party national observations (briefs) supporting the plaintiff and the number of such briefs supporting the defendant

***newcasenumber***

A recoding of the variable "casenumber" into a unique numeric identifier for each case

***NewECJPLAgree***

Dummy variable coded (1) if the ECJ agreed with the plaintiff on the legal issue, (0) otherwise

***normgdpobs***

The balance of third-party briefs by governments in support of the plaintiff, where the briefs are weighted by Gross Domestic Product (GDP) and normalized by the total GDP of European Union at the time

***normgdpobs177***

Interaction of the variables "normgdpobs" and "article177"

***normgdpobsgov***

Interaction of the variables "normgdpobs" and "govislit"

***normgdpobspre1994\****

Interaction between the variables “Pre1994” and “normgdpobs”

***Pre1994\****

Dummy variable coded (1) if the ruling is from a year prior to 1994, (0) otherwise

***referringnation***

The nation of the court making a request for a preliminary reference. This is coded as:

(1) France; (2) Belgium; (3) the Netherlands; (4) Luxembourg; (5) (West) Germany; (6) Italy; (7) Denmark; (8) Ireland; (9) United Kingdom; (10) Greece; (11) Spain; (12) Portugal; (13) Austria; (14) Finland; (15) Sweden

***second***

The second year of the 6-year term for the Advocate General

***sixth***

The sixth year of the 6-year term for the Advocate General

***surv***

The likelihood of the survival of the current prime minister’s party to the end of the Advocate General’s term (this is the “sophisticated” measure described in the appendix to chapter 4)

***suspect\****

Dummy variable coded (1) for rulings that are suspect because the variables “vulnerable” and “homecook” are coded (1), (0) otherwise

***third***

The third year of the 6-year term for the Advocate General

***time***

Number of years since the first year of the 6-year term for the Advocate General

***typeofdefendant***

This is coded numerically to indicate the type of plaintiff. Coded as:

(1) Private litigant; (2) National Government; (3) Administrative Agency;  
(4) Commission; (5) European Parliament; (6) Council of Ministers;

(49) Commission and National Government; (50) Commission and Council;  
(51) National Government and Agency; (52) National Government and Private Actor;  
(53) Multiple National Governments; (54) More than one Council; (55) Commission and  
European Parliament; (56) Council, Commission and European Parliament;  
(57) Commission and Other European Union Actor; (58) National Government and Other  
European Union Actor; (59) Commission and Private Litigant;

(60) Private Litigant and Administrative Agency; (61) Ex Parte; (62) Public Prosecutor;  
(63) Public Prosecutor and Administrative Agency; (64) Public Prosecutor and Private  
Litigant; (95) European Court of Justice; (96) Commission of the EAEC; (97) High  
Authority of the ECSC; (98) European Economic Community; (99) Unknown

***typeofplaintiff***

This is coded numerically to indicate the type of plaintiff. Please see coding above under  
variable “typeofdefendant”

***vulnerable\****

Dummy variable coded (1) for rulings in which the Advocate General’s age and home  
government’s electoral context increase the likelihood the Advocate General is sensitive  
to the home government’s opinion on the case, (0) otherwise

***year***

Year of ruling